Docket No.

288931US0PCT

MAIL STOP PCT

N THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Keisuke FORTICHI, et al

SERIAL NO:

10/574,283

GAU:

FILED:

March 31, 2006

EXAMINER:

FOR:

PROCESS FOR PRODUCING 1,4-DIHYDOXY-2-NAPHTHOIC ACID

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number <u>15-0030</u>. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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SHEET 1 OF 1

288931US0PCT SERIAL NO. U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Form PTO 1449 (Modified) 10/574,283 APPLICANT LIST OF REFERENCES CITED BY APPLICANT Keisuke FURUICHI, et al. FILING DATE **GROUP** March 31, 2006 **U.S. PATENT DOCUMENTS EXAMINER** DOCUMENT SUB **FILING DATE CLASS** DATE NAME INITIAL NUMBER CLASS IF APPROPRIATE 12/2/04 SATO, et al. AA 2004/0241815 AB AC AD ΑE AF AG AH Αl ΑJ ΑK AL ΑM AN **FOREIGN PATENT DOCUMENTS TRANSLATION** DOCUMENT DATE COUNTRY NUMBER YES NO ΑO 03/016544 2/27/2003 WO(with English abstract) NO WO(with English abstract & equivalent of EP 1 NO ΑP 2004/085364 10/7/2004 607 383) AQ AR AS ΑT ΑU A۷ OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) AW AX ΑY ΑZ Additional References sheet(s) attached Examiner **Date Considered** *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

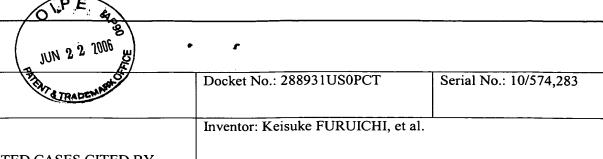


U.S. PCT Application Serial No: 10/574,283

Filed: March 31, 2006 Keisuke FURUICHI, et al. Docket No. 288931 US

STATEMENT OF RELEVANCY

- 1) References have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AO & AP are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.



LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56

Filing Date: March 31, 2006

Group:

LIST OF RELATED CASES

Examiner <u>Initial</u>	Docket No.	Serial or Patent Number	Filing or Issue Date	Patent App. Publication No.	Inventor or Applicant
	288931US0PCT*	10/574,283	03/31/06		FURUICHI, et al.
	248445US0PCT	10/486,421	07/13/04	US2004/0241815 A1	SATO, et al.

Examiner

Date Considered